Attorney Docket No.: MP0311

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Sutardja et al. Art Unit : Serial No. : Examiner :

Filed: Herewith Conf. No.:

Title : DEMODULATION COMPENSATION FOR SPIRAL SERVO TRACKS IN

HARD DISK DRIVES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 and 1.97-98

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is a modified form PTO-1449 listing documents for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of documents, or that inclusion of a document in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

	office action on the merits, whichever event last occurred;
	national stage of an international application, or before the mailing date of a firs
X	within three months of the filing date of the application, or date of entry into the

- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- after three months from the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:

Attorney's Docket No.: MP0311 Applicant: Sutardja Serial No.: Filed : Herewith Page: 2 attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR Applicant certifies pursuant to 37 CFR § 1.97(e) that: each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and: Applicant certifies pursuant to 37 CFR. § 1.97(e) that: each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND attached hereto is the fee set forth under 37 CFR § 1.17 (p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. / , , filed on _____, and the documents cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more

than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR §

1.704(d).

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Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed documents and favorable action are respectfully requested.

Date: 6/20/0

Law Office of Richard A. Dunning, Jr.

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Telephone: 831.420.0561 Facsimile: 413.541.0092 Respectfully submitted,

Richard A. Dunning, Jr.

Attorney/Agent for Applicant(s)

Reg. No. 42502

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				Appl. No. & Conf. No.		
INFOR	RMATION	DISCLO	OSURE	Filing Date	Herewith	
STATE	EMENT B	Y APPL	.ICANT	First Named Inventor	Sutardja et al.	
				Group Art Unit		
(us	se as many shee	ts as necess	sary)	Examiner Name		
Sheet	1	of	1	Attorney Docket Number	MP0311	

				U.S. PATENT DOCUMEN	TS	
Examiner Initials*	Cite No.1	U.S. Patent Do	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α	5,668,679		Swearingen et al.	09-16-1997	
	В	6,304,407	B1	Baker et al.	10-16-2001	
	С	6,344,942	B1	Yarmchuk	02-05-2002	
	D	6,392,834	B1	Ellis	05-21-2002	
	E	6,429,989	B1	Schultz et al.	08-06-2002	
	F	6,519,107	B1	Ehrlich et al.	02-11-2003	
	G	2002/0135927	A1	Yatsu	09-26-2002	
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FOREIGN PATENT DOCUMENTS								
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		Office ³	Number ⁴	Kind Code ⁵ (<i>if known</i>)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ⁶
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Examiner	Date	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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